

October 13, 2022

Board of County Commissioners  
Clackamas County

Members of the Board:

Approval of an Agreement with the Oregon Department of Human Services to continue the Supplemental Nutrition Assistance Employment & Training program. Total contract value is \$151,065.72. Funded through Oregon Department of Human Services. Match requirement will be met using \$100,000 in budgeted County General Funds and the remainder in division fund balance.

<b>Purpose/Outcome</b>	Clackamas County Children, Family & Community Connections (CFCC) through its Workforce program works with Supplemental Nutrition Assistance Program (SNAP) recipients to deliver employment, training and job placement services. The County has leveraged this funding for the past five years to serve 120 low-income Clackamas County residents annually.
<b>Dollar Amount and Fiscal Impact</b>	Award has a maximum value of \$151,065.72 Grant match requirement is 50% of total program budget. CFCC will use \$100,000 of budgeted County General Fund allocation during FY22-23, and \$51,065.72 in division fund balance.
<b>Funding Source</b>	Oregon Department of Human Services IGA No. 177280-0
<b>Duration</b>	Effective for services starting October 1, 2022 and terminating on September 30, 2023
<b>Previous Board Action/Review</b>	Approval to Apply: 6/2/22 Board Issues Date: 10/12/22
<b>Strategic Plan Alignment</b>	1. Grow a Vibrant Economy - Provide customized employment services to individuals with barriers to employment so they can obtain and retain career path employment leading to self-sufficiency.  2. Ensure safe, healthy and secure communities by preparing individuals with barriers to employment for careers.
<b>Counsel Review</b>	This Intergovernmental agreement has been reviewed and approved by County Counsel on 9/20/22, KR
<b>Procurement Review</b>	Was the item processed through Procurement? No. Revenue IGA
<b>Contact Person</b>	Adam Freer 971-533-4929
<b>Contract No.</b>	H3S #10691

**BACKGROUND:**

The Children, Family & Community Connections (CFCC) Division of the Health, Housing and Human Services Department requests approval to accept an Intergovernmental Agreement from Oregon Department of Human Services for the continuation of SNAP Employment and Training (STEP) services

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www.clackamas.us

to eligible Clackamas County residents. The Workforce Unit has received this funding for 5 years, and has leveraged the County General Funds it receives to meet the required 50% match.

The program helps SNAP recipients gain skills and find work that moves them forward to self-sufficiency. Participants have access to training and support services to help them enter and move up in the workforce. This program prioritizes second chance individuals, those exiting incarceration and individuals in substance use disorder recovery programs.

Award has a maximum value of \$151,065.72 in State funding for program services effective 10/1/22 and through 9/30/23.

**RECOMMENDATION:**

Staff recommends Board approval to apply and authorization for Tootie Smith, Board Chair, to sign.

Respectfully submitted,

*Rodney A. Cook*

Rodney A. Cook, Director  
Health, Housing & Human Services



**Agreement Number 177280**

**STATE OF OREGON  
INTERGOVERNMENTAL AGREEMENT**

In compliance with the Americans with Disabilities Act, this document is available in alternate formats such as Braille, large print, audio recordings, Web-based communications and other electronic formats. To request an alternate format, please send an e-mail to [dhs-oha.publicationrequest@state.or.us](mailto:dhs-oha.publicationrequest@state.or.us) or call 503-378-3486 (voice) or 503-378-3523 (TTY) to arrange for the alternative format.

This Agreement is between the State of Oregon, acting by and through its Oregon Department of Human Services, hereinafter referred to as “ODHS” and

**Clackamas County  
Acting by and through its Health, Housing and Human Services Children, Family &  
Community Connection Division  
112 11<sup>th</sup> Street  
Oregon City, OR 97045  
Attention: Jennifer Harvey  
Telephone: 503-867-7500  
E-mail address: [jharvey@clackamas.us](mailto:jharvey@clackamas.us)**

hereinafter referred to as “County.”

Work to be performed under this Agreement relates principally to ODHS’

**Office of Self-Sufficiency Programs  
Employment & Training  
500 Summer Street NE  
Salem, OR 97301  
Agreement Administrator: John Briscoe or delegate  
Telephone: 503-947-5389  
E-mail address: [John.Briscoe@dhssoha.state.or.us](mailto:John.Briscoe@dhssoha.state.or.us)**

**1. Effective Date and Duration.**

This Agreement shall become effective on the later of: (I) **October 1, 2022** provided it is (i) approved in writing by the Oregon Department of Justice on or before such date, and (ii) when required, approved in writing by the Oregon Department of Administrative Services, and (iii) is signed by all parties, regardless of the date of the parties’ signatures; or (II) the date this Agreement is approved in writing by the Oregon Department of Justice, provided it is (i) when required, approved in writing by the Oregon Department of Administrative Services, and (ii) is signed by all parties, regardless of the date of the parties’ signatures. Unless extended or terminated earlier in accordance with its terms, this Agreement shall expire on **September 30, 2023**. Agreement termination shall not extinguish or prejudice ODHS’ right to enforce this Agreement with respect to any default by Agency that has not been cured.

**2. Agreement Documents.**

a. This Agreement consists of this document and includes the following listed exhibits which are incorporated into this Agreement:

- (1) Exhibit A, Part 1: Statement of Work
- (2) Exhibit A, Part 2: Payment and Financial Reporting
- (3) Exhibit A, Part 3: Special Provisions
- (4) Exhibit B: Standard Terms and Conditions
- (5) Exhibit C: Subcontractor Insurance Requirements
- (6) Exhibit D: Federal Terms and Conditions

This Agreement constitutes the entire agreement between the parties on the subject matter in it; there are no understandings, agreements, or representations, oral or written, regarding this Agreement that are not specified herein.

b. In the event of a conflict between two or more of the documents comprising this Agreement, the language in the document with the highest precedence shall control. The precedence of each of the documents comprising this Agreement is as follows, listed from highest precedence to lowest precedence: this Agreement without Exhibits, Exhibits D, B, A, and C.

**3. Consideration.**

- a. The maximum not-to-exceed amount payable to County under this Agreement, which includes any allowable expenses, is **\$151,065.72**. ODHS will not pay County any amount in excess of the not-to-exceed amount for completing the Work, and will not pay for Work until this Agreement has been signed by all parties.
- b. ODHS will pay only for completed Work under this Agreement, and may make interim payments as provided for in Exhibit A. For purposes of this Agreement, “Work” means specific work to be performed or services to be delivered by County as set forth in Exhibit A.

**4. Contractor or Subrecipient Determination.** In accordance with the State Controller’s Oregon Accounting Manual, policy 30.40.00.104, ODHS’ determination is that:

- County is a subrecipient
- County is a contractor
- Not applicable

Catalog of Federal Domestic Assistance (CFDA) #(s) of federal funds to be paid through this Agreement: 10.561

**5. County Data and Certification.**

- a. **County Information.** This information is requested pursuant to ORS 305.385 and OAR 125-246-0330(1).

**PLEASE PRINT OR TYPE THE FOLLOWING INFORMATION:**

**County Name (exactly as filed with the IRS):** \_\_\_\_\_

Street address: \_\_\_\_\_

City, state, zip code: \_\_\_\_\_

Email address: \_\_\_\_\_

Telephone: (\_\_\_\_) \_\_\_\_\_ Facsimile: (\_\_\_\_) \_\_\_\_\_

**Proof of Insurance:** County shall provide the following information upon submission of the signed Agreement. All insurance listed herein must be in effect prior to Agreement execution.

Workers' Compensation Insurance Company: \_\_\_\_\_

Policy #: \_\_\_\_\_ Expiration Date: \_\_\_\_\_

- b. **Certification.** Without limiting the generality of the foregoing, by signature on this Agreement, County hereby certifies under penalty of perjury that:
  - (1) County acknowledges that the Oregon False Claims Act, ORS 180.750 to 180.785, applies to any "claim" (as defined by ORS 180.750) that is made by (or caused by) County and that pertains to this Agreement or to the project for which the Agreement work is being performed. County certifies that no claim described in the previous sentence is or will be a "false claim" (as defined by ORS 180.750) or an act prohibited by ORS 180.755. County further acknowledges that in addition to the remedies under this Agreement, if it makes (or causes to be made) a false claim or performs (or causes to be performed) an act prohibited under the Oregon False Claims Act, the Oregon Attorney General may enforce the liabilities and penalties provided by the Oregon False Claims Act against County;
  - (2) The information shown in Section 5a. "County Information", is County's true, accurate and correct information;
  - (3) To the best of the undersigned's knowledge, County has not discriminated against and will not discriminate against minority, women or emerging small business enterprises certified under ORS 200.055 in obtaining any required subcontracts;

- (4) County and County's employees and agents are not included on the list titled "Specially Designated Nationals" maintained by the Office of Foreign Assets Control of the United States Department of the Treasury and currently found at: <https://www.treasury.gov/resource-center/sanctions/SDN-List/Pages/default.aspx>;
- (5) County is not listed on the non-procurement portion of the General Service Administration's "List of Parties Excluded from Federal procurement or Non-procurement Programs" found at: <https://www.sam.gov/SAM>;
- (6) County is not subject to backup withholding because:
  - (a) County is exempt from backup withholding;
  - (b) County has not been notified by the IRS that County is subject to backup withholding as a result of a failure to report all interest or dividends; or
  - (c) The IRS has notified County that County is no longer subject to backup withholding; and
- (7) County's Federal Employer Identification Number (FEIN) provided is true and accurate. If this information changes, County is required to provide ODHS with the new FEIN within 10 days.

**EACH PARTY, BY EXECUTION OF THIS AGREEMENT, HEREBY ACKNOWLEDGES THAT IT HAS READ THIS AGREEMENT, UNDERSTANDS IT, AND AGREES TO BE BOUND BY ITS TERMS AND CONDITIONS.**

**COUNTY: YOU WILL NOT BE PAID FOR WORK PERFORMED PRIOR TO NECESSARY STATE APPROVALS**

**6. Signatures.** This Agreement and any subsequent amendments may be executed in several counterparts, all of which when taken together shall constitute one agreement binding on all parties, notwithstanding that all parties are not signatories to the same counterpart. Each copy of the Agreement and any amendments so executed shall constitute an original.

**Clackamas County, acting by and through its Health, Housing and Human Services Children, Family & Community Connection Division**  
**By:**

\_\_\_\_\_  
Authorized Signature

\_\_\_\_\_  
Printed Name

\_\_\_\_\_  
Title

\_\_\_\_\_  
Date

**State of Oregon, acting by and through its Oregon Department of Human Services**  
**By:**

\_\_\_\_\_  
Authorized Signature

\_\_\_\_\_  
Printed Name

\_\_\_\_\_  
Title

\_\_\_\_\_  
Date

**Approved for Legal Sufficiency:**

Approved via e-mail by Jeffrey J. Wahl, Assistant Attorney General  
Department of Justice

September 19, 2022  
Date

## EXHIBIT A

### Part 1 Statement of Work

County shall deliver the SNAP E&T (Employment & Training) services, as described in this Agreement, for Oregon’s SNAP Training and Employment Program (STEP), nationally called the SNAP 50/50 Program. In summary, County shall assist “Supplemental Nutrition Assistance Program” (SNAP) E&T Participants in obtaining skills, training, work, or work experience, or both to increase their ability to obtain living wage employment. County shall deliver the services in accordance with Title 7, Part 273.7 (Work Provisions) of the Code of Federal Regulations as well as the provisions set forth below.

**1. Definitions. Capitalized terms not defined in this Agreement are defined in the applicable OARs.**

- a. “**Activity**” has the meaning assigned to it in OAR 461-001-0020 (4)
- b. “**Assessment**” has the meaning assigned to it in OAR 461-001-0020(1).
- c. “**Case Plan**” has the meaning assigned to it in OAR 461-001-0020(2).
- d. “**Case Management**” has the meaning assigned to it in OAR 461-001-0020(3).
- e. “**Component**” has the meaning assigned to the term “Employment and Training Component” in 7 CFR §273.7 (d) (2) and includes those activities and educational programs identified in OAR 461-001-0020(4).
- f. “**Deliverable(s)**” means all items that County is required to provide to ODHS under this Agreement, including Work Product.
- g. “**FFY**” means the Federal Fiscal Year, which runs from October 1 through September 30.
- h. “**iMatchSkills™ Database**” means the Statewide software tool that is used by ODHS (and other State agencies) and its contractors to record information about ODHS clients, including SNAP E&T Participants. Information collected and maintained in iMatchSkills includes Participant eligibility for programs, services provided and is used to ensure delivery of services and that duplicative services are not billed to ODHS.
- i. “**Orientation**” has the meaning assigned to it in OAR 461-001-0020 (8).
- j. “**Participant**” means an ODHS client who has been confirmed as eligible for the SNAP E&T Program and to whom County is required to provide services in accordance with this Agreement.
- k. “**Support Services**” has the meaning assigned to the term “Participant Reimbursements” in 7 CFR §273.7 (d) (4)
- l. “**Work**” means the tasks or services, or both, required to be performed under this Agreement, together with all Deliverables to be delivered under this Agreement.

**2. The Department of Human Services (ODHS) shall determine the following:**

- a. SNAP eligibility



- b. SNAP E&T eligibility
- c. Identify which SNAP Participants are ‘Able Bodied Adults Without Dependents’ (ABAWD).

**The authority to determine eligibility for SNAP E&T services resides exclusively with ODHS.**

**3. Participants of the SNAP E&T Program:**

For purposes of this Agreement, a SNAP E&T Participant is an individual who volunteers for the SNAP E&T Program and is:

- a. Receiving SNAP benefits;
- b. 16 years of age or older; and
- c. Not receiving TANF JOBS or not eligible for the TANF JOBS program due to one of the below statuses:
  - (1) Non-Needy Caretaker Relative; or
  - (2) Recipient of SSI/SSDI, and
  - (3) Completed the Orientation, Assessment and Case Plan.

**4. The County shall be responsible for all of the following:**

- a. Delivering SNAP E&T services to those SNAP E&T eligible and appropriate individuals (as specified and identified by ODHS) described in this Statement of Work;
- b. Verifying that SNAP participants are SNAP E&T eligible by accessing the ODHS iMatchSkills™ Database;
- c. Documenting and entering timely and accurate program and Participant information in the iMatchSkills™ Database;
- d. Documenting and entering support services provided to SNAP E&T Participants in the iMatchSkills™ Database in accordance with the STEP Provider Handbook updated and issued every FFY by the ODHS Central Office E&T team;
- e. Submitting SNAP E&T administration, program, and support service billing invoices on a quarterly basis as outlined in Exhibit A, Part 2 (2)(a);
- f. Conducting orientations, individual Assessments as described in Paragraph b, Section 5 below of this Exhibit A, Part 1, and creating case plans for all SNAP E&T Participants engaged in County’s SNAP E&T Program; SNAP E&T Participants will be placed in approved and appropriate SNAP E&T component(s) that County administers or purchases.
- g. Contacting Participants through telephone, voicemail, written notes, or other email, or SMS (text), or other electronic means; and providing Case Management Services (as described below) to all SNAP E&T Participants participating in County’s SNAP E&T Program.
- h. All Case Management Services must be provided in full to each SNAP E&T Participant no less than once each month. Case Management Services include:

- (1) Guiding, motivating, and supporting job seekers by continually assessing their needs and challenges, identifying resources, and advising on career and training opportunities; and
- (2) Tracking of Case Plan progress and making adjustments as needed.

**5. SNAP E&T Program Requirements:**

County and ODHS will collaborate to determine an agreed upon process for referring eligible and appropriate SNAP Participants to County’s SNAP E&T Program. In addition to the monthly Case Management Services, County shall also provide all of the following services to all eligible SNAP E&T Participants referred to County by ODHS prior to any SNAP E&T component being offered:

**a. SNAP E&T Orientation**

County’s Orientation must provide all referred, potential SNAP E&T Participants with an overview of County’s organization, programs, requirements, expectations of the SNAP E&T Participants, and the specific Case Management and other services offered as part of its SNAP E&T Program. County shall also ensure that all SNAP E&T Participants are provided with all relevant contact information.

**b. SNAP E&T Assessment**

Each potential or current SNAP E&T Participant who has requested contracted SNAP E&T services from County shall receive an Assessment. The SNAP E&T Assessment shall be a one-on-one meeting with the Participant to identify:

- (1) Strengths
- (2) Interest
- (3) Family Circumstances
- (4) Short- and Long-Term Goals
- (5) Vocational Aptitudes
- (6) Digital Literacy
- (7) Necessary Accommodations
- (8) Any challenges to obtaining or maintaining employment

**c. SNAP E&T Case Plan**

The Case Plan is a written outline, developed together with the potential or current SNAP E&T Participant and County staff, listing approved components submitted in the County’s STEP proposal, that will be administered or purchased by the County. Components that are appropriate for each Participant are identified during the Assessment and are intended to reduce the effect of challenges to the SNAP E&T Participant’s employment, job retention, and wage enhancement.

- (1) Each SNAP E&T Participant’s Case Plan must include, at a minimum:
  - (a) Short and long-term goals;
  - (b) Dates for activity completion;
  - (c) Instructions on how and in what time frame to notify the County if the SNAP E&T Participant cannot participate according to the plan;

- (d) Statement outlining participation in the County’s SNAP E&T Program is voluntary and will not impact Participant’s SNAP benefits, Participant signature with date, and
  - (e) At least one approved component as indicated in the County STEP proposal.
- (3) County shall document the SNAP E&T Case Plan for each SNAP E&T Participant.

**d. SNAP E&T Components**

County shall provide Case Management Services for only those SNAP E&T Participants who have completed an Orientation and Assessment that results in a Case Plan. SNAP E&T Case Management Services shall be provided through specific, allowable E&T Components that have been approved by ODHS through County’s E&T STEP proposal.

- (1) Subject to ODHS prior approval as identified in section 5.4 above, County must offer at least one of the SNAP E&T job components from the following list:
- (a) Basic Education/Foundational Skills Instruction Component,
  - (b) Career/Technical Education or other Vocational Training Component,
  - (c) English Language Acquisition Component,
  - (d) Integrated Education and Training (IET)/Bridge Programs Component,
  - (e) Internship Component,
  - (f) Internship with Subsidized Employment Component,
  - (g) Job Search Training Component,
  - (h) Job Retention Component,
  - (i) On-The-Job Training,
  - (j) Pre-Apprenticeship Component,
  - (k) Apprenticeship Component,
  - (l) Pre-Apprenticeship with Subsidized Employment Component
  - (m) Apprenticeship with Subsidized Employment Component,
  - (n) Self-Employment Training Component,
  - (o) Short-Term Training Component,
  - (p) Supervised Job Search Component,
  - (q) Work Based Learning-Other,
  - (r) Work Based Learning-Other with Subsidized Employment, or
  - (s) Work Readiness Training Component.
- (2) County’s offering Subsidized Employment Components including, On-The-Job Training must complete the following for ODHS Central Office approval prior to placing a SNAP E&T Participant in these components:

- (a) Verification of maximum allowable monthly hours an individual can complete before SNAP case closure,
- (b) Work Site Agreement (WSA) template, and
- (c) Training Plan and Training Progression Form.

e. **SNAP E&T Support Services**

- (1) SNAP E&T support services are provided to enable SNAP E&T Participants to successfully complete their SNAP E&T Case Plan, which includes attaining the goals contained therein. SNAP E&T support services shall be provided to defray SNAP E&T Participant expenditures and other costs related directly to a service component as stipulated by the SNAP E&T Participant’s SNAP E&T Case Plan.
- (2) Support services payments may only be issued to individuals receiving SNAP benefits who have been determined eligible and appropriate for the SNAP E&T Program and completed the requirements to be considered a SNAP E&T Participant.
- (3) When providing support services County must consider lower-cost alternatives and collaborate with the SNAP E&T Participant to seek resources that are reasonably available, in order to engage in the SNAP E&T Program.
- (4) All SNAP E&T support service payments must be issued in accordance with the approved STEP Proposal and the STEP Provider Handbook.
- (5) List of support service payments shall accompany the quarterly County invoice in accordance with Quarterly E&T Billing Report which are required to be submitted under Paragraphs a and c of Section 2 of Exhibit A, Part 2 (“Payment and Financial Reporting”) of this Agreement.

f. **Provider Determinations**

In the event County determines a SNAP E&T Participant is not appropriate for the SNAP E&T services County provides, or County does not have available services suited for an individual, County must notify ODHS within ten days of such determination.

**6. Services for Individuals 16 or 17 Years of Age**

- a. When providing services to 16 or 17-year-old Participants, County must contact ODHS using the district specific SNAP E&T Navigator email box, including “Head of Household Status Verification” in the subject line to confirm head of household status.
- b. If the SNAP Participant is identified as the head of household on their SNAP benefit case, no other action is needed, and County may begin offering SNAP E&T services.
- c. If the SNAP Participant is NOT identified as the head of household, parental permission will be required by signing the ODHS form 3010. SNAP E&T

services are not eligible to be provided until parental permission is granted. Parental permission will be obtained by ODHS and provided to the County through the iMatchSkills™ Database.

- d. In order to ensure compliance with federal regulation and County is not supplanting services County will review and comply with requirements set forth in the STEP Provider Handbook.

## **7. Services to Individuals in the Hemp Industry**

- a. The sale, use, and manufacturing of marijuana including products containing marijuana is federally prohibited. The Oregon SNAP E&T Program, including but not limited to support services, is funded using federal reimbursements. Federal funding cannot be used to support activities that are deemed illegal under federal law.
- b. Hemp products that are non-medicinal and non-recreational (not mind altering) are allowable under federal law on the national level. Any SNAP E&T activities involving hemp products, which are categorized separately from marijuana must be authorized in writing by ODHS Central Office prior to placing SNAP E&T Participants in positions in the Hemp industry. In the event County desires to place a SNAP E&T Participant in a job with a Hemp business, County must request prior authorization by completing and submitting to ODHS the Hemp Industry questions set forth in the STEP Provider Handbook.

## **8. Civil Rights Training**

The Oregon Department of Human Services (ODHS) mandates that all partner organizations are in compliance with applicable laws, including, without limitation, those regulations and policies applicable to Food and Nutrition Service (FNS) customers and employees regardless of race, color, national origin, religion, sex, gender identity, sexual orientation, disability, marital status, family /parental status, income derived from public assistance program, and political beliefs. This includes:

- a. Displaying “And Justice for All Posters” visibly in workspaces:  
Posters can be downloaded at <https://nifa.usda.gov/resource/and-justice-all-poster> or by contacting ODHS.
- b. Civil Rights Training for all employees and other County personnel who serve SNAP E&T Participants:
  - (1) Civil Rights Training is accessible through Workday at <https://workdaylearning.dasapp.oregon.gov/>
  - (2) County shall document compliance with this requirement by maintaining a file for a period of six years after this Agreement expires, which identifies the names of County employees and other personnel who take the training, and the date the training is completed and passed.

- c. Both a funding statement and a nondiscrimination statement on any materials produced for public information, public education, or public distribution, or both.

**(1) The funding statement that must be included on such material is as follows:**

- (a) *This project has been funded at least in part with Federal funds from the U.S. Department of Agriculture. The contents of this publication do not necessarily reflect the view or policies of the U.S. Department of Agriculture, nor does mention of trade names, commercial products, or organizations imply endorsement by the U.S. Government.*
- (b) For publications with minimal text or limited space, County may, in lieu of using the funding statement in subparagraph (a) above, use one of the following abbreviated statements:
- i. *Funding provided by United States Department of Agriculture.*
  - ii. *Funding for research provided by United States Department of Agriculture.*
  - iii. *Funding for this project was provided by United States Department of Agriculture.*

**(2) The nondiscrimination statement that must be included on such materials is as follows:**

- (a) *In accordance with Federal law and U.S. Department of Agriculture policy, this institution is prohibited from discriminating on the basis of race, color national origin, sex, age, or disability.*
- To file a complaint of discrimination, write USDA, Director, Office of Civil Rights; Room, 326-W, Whitten Building, 1400 Independence Avenue, SW, Washington, DC 20250-9410 or call (202) 720-5964 (voice and TDD). USDA is an equal opportunity provider employer and lender.*
- (b) For publications with minimal text or limited space, County may, in lieu of using the funding statement in subparagraph (a) above, use the following abbreviated statement:
- USDA is an equal opportunity employer provider and lender.*

**(3) Limited English Proficiency (LEP) Access**

Title VI of the Civil Rights Act of 1964 prohibits recipients of Federal financial assistance from discriminating against or otherwise excluding individuals on the basis of race, color, or national origin in any of their activities. Section 601 of Title VI, 42 U.S.C. § 2000d, provides “No person in the United States shall, on the ground of race, color, or national

origin, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under, any program or activity receiving Federal financial assistance.”

SNAP E&T Providers, including County under this Agreement, must have systems in place to provide access to SNAP E&T services to non-English speaking SNAP E&T participants.

**9. Privacy and Confidentiality Training for iMatchSkills™**

iMatchSkills™ is a mandatory component to the SNAP E&T program to verify SNAP eligibility and to track SNAP E&T Participant’s components and outcomes. Therefore, County and its employees and other personnel providing services under this Agreement, must undergo Privacy and confidentiality training in order to access the iMatchSkills™ tool.

**10. Mandatory Reporter Training**

As a County of ODHS/OHA, County is a 24/7 mandatory reporter. Accordingly, County must participate in, and cause its employees and other personnel providing SNAP E&T services to participate in, a one-time only mandatory reporter training. This course will help County recognize and report abuse to children, seniors over age 65, people in nursing facilities, and adults who receive mental health or developmental disabilities services.

**11. DHSOHA - ISPO - 2023 Information Security and Privacy Awareness Training**

County employees and other personnel, including, without limitation, interns, temps, volunteers, and partners who access the ODHS or OHA computer systems, including but not limited to iMatchSkills™, or who have access to County data and information must take part in an annual information security and privacy awareness training. The course introduces privacy, security, HIPAA, and the importance of protecting information used in ODHS and OHA operations.

**12. Performance Reporting**

County shall record, track, and compile any and all data monthly on SNAP E&T Participant’s engagement in the SNAP E&T program and on County’s progress in attaining the Agreement performance measures, as described in Section 13 (“Agreement Performance Measures”) of this Exhibit A, Part 1 (“Statement of Work”). Such recording, tracking, and compiling shall be performed by using the iMatchSkills™ Database. If the required data is not available in the iMatchSkills™ Database, County shall instead report this information to ODHS on a quarterly basis using the ODHS provided E&T Performance & Outcomes Report.

Subsidized Employment Component, and Apprenticeship Component will have additional reporting requirements. County shall submit the required data in a form prescribed by ODHS each FFY.

**13. Agreement Performance Measures**

**a. Target Number**

- (1) In the performance of the Work required under this Agreement, County shall strive to attain the following targeted number of SNAP E&T Participants served, in accordance with the approved criteria.
- (2) For the period October 1, 2022 through September 30, 2023: Number of SNAP E&T Participants to be Served – 120

**b. Number of SNAP E&T Participants Served Criteria**

In order to report a SNAP E&T Participant has been “served” County must demonstrate, during the FFY period of October 1, 2022, through September 30, 2023, each such identified SNAP E&T Participant complied with at least one of the following:

- (1) Participated in the E&T orientation **and** E&T Assessment,
- (2) Developed an E&T Case Plan with County staff.
- (3) Updated an E&T Case Plan for new or additional components with County staff,
- (4) Participated at least one hour in a SNAP E&T Program Component,
- (5) Received support service payments for participation in a SNAP E&T Program Component.

**14. SNAP E&T Program Review**








County shall, upon written request (email shall suffice) by ODHS, participate in ongoing SNAP E&T Program review which consists of both a fiscal and a program review by complying with the following:

- a. Provide an account of County’s progress in achieving the Agreement performance measures, as described in Section 13 above; and
- b. Accept technical assistance from ODHS effort in order to assist ODHS with increasing the rate of employment, household income, family stability and self-sufficiency of SNAP E&T Participants.

*Remainder of page intentionally left blank*



**15. County Assurance Statements:**

<b>Assurance Statement Instructions:</b>		<b>County Initials</b>
<i>Please initial in the box on the right to indicate you have read and understand each statement.</i>		
I.	County will implement and follow all applicable Federal laws, rules, and regulations outlined in this Agreement for civil rights compliance.	
II.	When applicable, State Approved Locations must be submitted to ODHS for review and approval. This includes any changes to already approved locations during the FFY.	
III.	SNAP E&T Program changes outside the approved STEP proposal must be submitted to ODHS for review and approval prior to implementation during the FFY.	
IV.	All reimbursements for costs of the SNAP E&T Program must be submitted timely as outlined in Exhibit A, Part 2, 2(e) of this Agreement. Payments submitted outside the contracted FFY are not guaranteed for payment.	
V.	Education and Training Components being offered by the County are not supplanting education services otherwise available to the SNAP E&T Participant.	
VI.	All funds specified by County as 50% match to implement the SNAP E&T Program are from a non-federal source.	
VII.	County acknowledges the guidelines and program requirements set forth in the STEP Provider Handbook which may not be listed in this Agreement.	

## EXHIBIT A

### Part 2 Payment and Financial Reporting

#### 1. Payment Provisions.

- a. County shall not submit payment requests for, and ODHS will not pay, any amount in excess of the maximum compensation amount set forth in Section 3. (“Consideration”) of the General Provisions of this Agreement. Payments for Services shall not exceed the amount assigned to the Budget Categories and time periods specified below.
- (1) From October 1, 2022 through September 30, 2023:  
Total Budget: **\$151,065.72**
  - (2) Any unused funding awarded in the FFY budget period cannot be allocated to a previous or subsequent FFY budget period.
  - (3) Budgets are approved on an annual Federal Fiscal Year (FFY) basis. **Although the parties anticipate federal approval for subsequent FFYs, neither the amount nor required federal approval is guaranteed.** The parties understand and agree that federal approval may not be granted in sufficient time to authorize Services beginning October 1 for a new FFY and therefore, agree to establish the following process:
    - (a) Agreements and Agreement amendments will be processed as soon as practicable after the parties have an agreed-upon budget for the next FFY. However, County shall not be authorized to provide Services beginning October 1 of the next FFY and shall not be entitled to payment for any such Services except as set forth in this subsection.
    - (b) If federal approval for the next FFY has not been received by ODHS prior to October 1, but ODHS has reasonable assurance that federal approval is forthcoming, the ODHS Agreement Administrator will issue a Limited Notice to Proceed to County. This Limited Notice to Proceed will authorize County to provide Services for up to 31 days at the rate established for the new FFY. ODHS may issue additional Limited Notices to Proceed as necessary in ODHS’ sole discretion until federal approval has been received, or if federal approval is not forthcoming, ODHS may issue a Stop Work Order and Notice of Termination as set forth in Exhibit B.
    - (c) Upon federal approval for the next FFY, the ODHS Agreement Administrator will issue a Notice to Proceed to County. This Notice to Proceed will authorize the County to provide Services beginning October 1, or at such later date as specified in the Notice to Proceed, for the duration of the FFY, unless some other action is taken by ODHS to stop work or terminate the Agreement sooner as

specified in this Agreement. A Notice to Proceed that is issued during the time a Limited Notice to Proceed is in effect will supersede the Limited Notice to Proceed.

## 2. Invoices.

- a. County shall electronically submit SNAP E&T billing invoices for program, administration and support services expenditures on a quarterly basis. Invoice expenditures must be documented in the invoice form prescribed by ODHS each FFY. Invoice submission shall provide detailed, accurate, and timely information summarizing the services County provided to ODHS SNAP E&T Participants during the quarter for which County is submitting the SNAP E&T invoice.
- b. County shall also submit their Quarterly SNAP E&T Performance & Outcomes Report, using the form prescribed by ODHS, each FFY, in the event the data is not available via iMatchSkills™.
- c. County shall record, track and report support service expenditures to ODHS in the prescribed invoice form each FFY. The report will include:
  - (1) Name of the SNAP E&T Participant for whom the issuance was made;
  - (2) Social Security Number (SSN) or Job Seeker (JS) identification located in iMatchSkills™ attached to that individual;
  - (3) The amount of support service dollars expended for that individual; and
  - (4) Type of support service that was purchased.
- d. ODHS may request County provide additional performance related information which illustrates SNAP E&T Participant success while engaging in County's SNAP E&T Program.
- e. All reports (quarterly invoices, Quarterly E&T Performance & Outcomes Report, Quarterly Feedback Report, and support service payments document) shall be submitted within **30 calendar days after the end of the Federal Fiscal Year service quarter**. County's quarterly invoice and Quarterly E&T Performance & Outcomes Reports, are due by the following dates:
  - (1) First Quarter (October, November, December) – January 31
  - (2) Second Quarter (January, February, March) – April 30
  - (3) Third Quarter (April, May, June) – July 31
  - (4) Fourth Quarter (July, August, September) – October 31
- f. All required documents and reports must be submitted before payment is issued to County Requirements within this Agreement not met, may result in non-payment.
- g. Any invoice received after the due date will be paid subject to federal funding availability.
- h. Required reports will be designated each FFY by ODHS in writing (email shall suffice).

## EXHIBIT A

### Part 3 Special Provisions

#### 1. Confidentiality of Client Information.

- a. All information as to personal facts and circumstances obtained by County on the client shall be treated as privileged communications, shall be held confidential, and shall not be divulged without the written consent of the client, his or her guardian, or the responsible parent when the client is a minor child, or except as required by other terms of this Agreement. Nothing prohibits the disclosure of information in summaries, statistical, or other form, which does not identify particular individuals.
- b. The use or disclosure of information concerning clients shall be limited to persons directly connected with the administration of this Agreement. Confidentiality policies shall be applied to all requests from outside sources.
- c. ODHS, County and any subcontractor will share information as necessary to effectively serve ODHS clients.

#### 2. Amendments.

- a. ODHS reserves the right to amend or extend the Agreement under the following general circumstances:
  - (1) ODHS may extend the Agreement for additional periods of time up to a total Agreement period of 5 years, and for additional money associated with the extended period(s) of time. The determination for any extension for time may be based on ODHS' satisfaction with performance of the work or services provided by County under this Agreement.
  - (2) ODHS may periodically amend any payment rates throughout the life of the Agreement proportionate to increases in Portland Metropolitan Consumer Price Index; and to provide Cost Of Living Adjustments (COLA) if ODHS so chooses. Any negotiation of increases in rates to implement a COLA will be as directed by the Oregon State Legislature.
- b. ODHS further reserves the right to amend the Statement of Work based on the original scope of work of for the following:
  - (1) Programmatic changes/additions or modifications deemed necessary to accurately reflect the original scope of work that may not have been expressed in the original Agreement or previous amendments to the Agreement;
  - (2) Implement additional phases of the Work; or
  - (3) As necessitated by changes in Code of Federal Regulations, Oregon Revised Statutes, or Oregon Administrative Rules which, in part or in

combination, govern the provision of services provided under this Agreement.

- c. Upon identification, by any party to this Agreement, of any circumstance which may require an amendment to this Agreement, the parties may enter into negotiations regarding the proposed modifications. Any resulting amendment must be in writing and be signed by all parties to the Agreement before the modified or additional provisions are binding on either party. All amendments must comply with Exhibit B, Section 22 “Amendments” of this Agreement.

### **3. County Requirements to Report Abuse of Certain Classes of Persons.**

- a. County shall comply with, and cause all employees to comply with, the applicable laws for mandatory reporting of abuse for certain classes of persons in Oregon, including:
  - (1) Children (ORS 419B.005 through 419B.045);
  - (2) Elderly Persons (ORS 124.055 through 124.065);
  - (3) Residents of Long Term Care Facilities (ORS 441.630 through 441.645);
  - (4) Adults with Mental Illness or Developmental Disabilities (ORS 430.735 through 430.743).
  - (5) Abuse of Individuals Living in State Hospitals (OAR 943-045-0400 through 945-045-0520)
- b. County shall make reports of suspected abuse of persons who are members of the classes established in Section 3.a. above to Oregon’s Statewide Abuse Reporting Hotline: 1-855-503-SAFE (7233), as a requirement of this Agreement.
- c. County shall immediately report suspected child abuse, neglect or threat of harm to ODHS’ Child Protective Services or law enforcement officials in full accordance with the mandatory Child Abuse Reporting law (ORS 419B.005 through 419B.045). If law enforcement is notified, County shall notify the referring ODHS caseworker within 24 hours. County shall immediately contact the local ODHS Child Protective Services office if questions arise as to whether or not an incident meets the definition of child abuse or neglect.
- d. County shall report suspected abuse of the elderly or abuse of patients in a medical or care facility immediately to ODHS’ Aging and People with Disabilities office or to a law enforcement agency.
- e. If known, the abuse report should contain the following:
  - (1) The name and address of the abused person and any people responsible for their care;
  - (2) The abused person’s age;
  - (3) The nature and the extent of the abuse, including any evidence of previous abuse;
  - (4) The explanation given for the abuse;

- (5) The date of the incident; and
- (6) Any other information that might be helpful in establishing the cause of the abuse and the identity of the abuser.

**4. Background Checks.** County shall verify that each of County's employees, volunteers, and subcontractors, providing services under this Agreement, and as a condition of working with ODHS-referred clients or having access to ODHS clients, client information, or client funds, has not been convicted of any of the following crimes: child or elder abuse, offenses against persons, sexual offenses, child neglect, or any other offense bearing a substantial relation to the qualifications, functions or duties of each such person. For purposes of this paragraph, "substantial relation" means the crime for which the person has been convicted of involves conduct by the person that relates to functions the person may perform for County or places the person in a position to gain access to a client or a client's personal information so as to place the person in a position to cause harm to a client. For example, a person who is convicted of fraud may not be permitted to work in a position that directs, controls or disburses moneys for this Agreement or has access to client finances or financial information.

**a.** County shall establish verification by:

- (1) Having County's employee, volunteer, or subcontractor, apply for and receive a fingerprint-based national criminal records check from a local Oregon State Police (OSP) office, which will be shared with County; OR
- (2) Utilizing a fingerprint-based background check approval, provided within the last two years, by a federal or State of Oregon agency to demonstrate the County's employee, volunteer, or subcontractor's fitness to provide services under this Agreement; OR
- (3) Utilizing a third-party vendor accredited by the Professional Background Screeners Association (PBSA). The third-party vendor must provide a national criminal records check that includes review of criminal history from each state the individual has lived, studied or worked in and the National Sex Offender Public Website (NSOPW).

**b.** The following requirements apply to all background checks performed regardless of method (Section a.(1)-(3) above) used:

- (1) Background checks must be completed prior to performing services under this Agreement, upon a promotion or a significant change in work duties, or if there is a reasonable basis to believe a new background check may be needed. Examples include, but are not limited to:
  - (a) Any indication of possible criminal or abusive behavior by an employee, volunteer or subcontractor;
  - (b) A lapse in working or volunteering in a position under the direction and control of County, but the individual is still considered in the position. For example, an extended period of leave by the individual due to sabbatical or military deployment.

- (c) Discovery of incorrect processes or insufficient documentation for a previously conducted background check.
  - (d) Federal or state regulations require a new background check.
  - (e) The County determines the need for a background check.
- (2) Background checks must be completed whenever there is a break in employment, volunteering, or subcontracting greater than 30 days. For example, an individual is laid off or quits due to the school year starting but returns to working or volunteering for the employer the following semester or summer.
  - (3) Existing employees, volunteers, and subcontractors are not required to have a new background check conducted at the time of Agreement extension by amendment, unless required by Section b.(1) above.
  - (4) County shall require each of its employees, volunteers, and subcontractors receiving background checks to report to the County any and all new arrests, convictions, or investigations for any child protective service or adult protective service case within 5 business days after the new arrest, conviction or investigation took place.
    - (a) Within five days of such notification, the County is required to report to ODHS the employee, volunteer, or subcontractor's new history.
    - (b) ODHS may request a new background check to reevaluate the ongoing fitness of the employee, volunteer, or subcontractor.
  - (5) County shall ensure all background checks and documentation are accessible for the employee, volunteer, or subcontractor's providing services to ODHS clients under this Agreement.
- c.** If the position of the County's employee or subcontractor is paid in part or in whole by funds from the Centers for Medicare and Medicaid Services (CMS), the background check must also include review of the General Service Administration (GSA) System for Award Management (SAM), and the Social Security Administration (SSA) Death Masterfile. Any employee or subcontractor of County found excluded on SAM or listed on the SSA Death Masterfile shall not be permitted to work with ODHS clients or have access to ODHS clients, client information, or client funds.
  - d.** County shall determine after receiving the criminal records and NSOPW check, whether its employee, volunteer, or subcontractor has any of the convictions listed above or sex offender status, and whether these potentially disqualifying conditions pose a risk to working safely with County clients. If County notes a conviction from any of the above listed crimes on the employee, volunteer, or subcontractor's record, and County chooses to hire the employee or allow the volunteer or subcontractor to perform services under this Agreement, County shall confirm with County in writing, the reasons for allowing the individual to perform services under this Agreement. These reasons shall address how the

employee, volunteer, or subcontractor is presently suitable or able to work with County clients in a safe and trustworthy manner. County shall ensure this information, along with the employee, volunteer, or subcontractor's background check, is accessible for those providing services to ODHS clients under this Agreement.

Upon receiving such written notification from County, ODHS reserves the right to the final denial or approval of any County employee, volunteer, or subcontractor to provide services to County clients under this Agreement. Unless County rejects the employee, volunteer, or subcontractor to provide services to County clients under this Agreement within seven business days from receiving such written notification from County, the County employee, volunteer, or subcontractor will be deemed approved by ODHS.

- e. The criminal records check procedures listed above also apply to County, its owners, managers, and board members if any individual has access to County clients, client information or client funds. County shall establish a personal personnel file and place each criminal records check in named file for possibility of future County review and shall be maintained pursuant to Exhibit B, "Standard Terms and Conditions", Section 15, "Records, Maintenance, Access."
  - f. Changes to federal or state legislation and rule may impose additional requirements for background checks. These changes will be implemented by an amendment to this Agreement.
  - g. If ODHS determines there is a need for a new criminal records check, County shall provide the results of a new criminal records check to ODHS for review no more than 14 business days after ODHS's request.
5. **Equal Access to Services.** County shall provide equal access to covered services for both males and females under 18 years of age, including access to appropriate facilities, services and treatment, to achieve the policy in ORS 417.270.
6. **Media Disclosure.** County will not provide information to the media regarding a recipient of services purchased under this Agreement without first consulting the ODHS office that referred the child or family. County will make immediate contact with the ODHS office when media contact occurs. The ODHS office will assist County with an appropriate follow-up response for the media.
7. **Nondiscrimination.** County must provide services to ODHS clients without regard to race, religion, national origin, sex, age, marital status, sexual orientation or disability (as defined under the Americans with Disabilities Act). Contracted services must reasonably accommodate the cultural, language and other special needs of clients.
- a. Rules set forth in OAR 943-120-0110 through 943-120-0160.



## EXHIBIT B

### Standard Terms and Conditions

1. **Governing Law, Consent to Jurisdiction.** This Agreement shall be governed by and construed in accordance with the laws of the State of Oregon without regard to principles of conflicts of law. Any claim, action, suit or proceeding (collectively, “Claim”) between the parties that arises from or relates to this Agreement shall be brought and conducted solely and exclusively within a circuit court for the State of Oregon of proper jurisdiction. THE PARTIES, BY EXECUTION OF THIS AGREEMENT, HEREBY CONSENT TO THE IN PERSONAM JURISDICTION OF SAID COURTS. Except as provided in this section, neither party waives any form of defense or immunity, whether sovereign immunity, governmental immunity, immunity based on the eleventh amendment to the Constitution of the United States or otherwise, from any Claim or from the jurisdiction of any court. The parties acknowledge that this is a binding and enforceable agreement and, to the extent permitted by law, expressly waive any defense alleging that either party does not have the right to seek judicial enforcement of this Agreement.
2. **Compliance with Law.** Both parties shall comply with laws, regulations, and executive orders to which they are subject and which are applicable to the Agreement or to the Work. Without limiting the generality of the foregoing, both parties expressly agree to comply with the following laws, regulations and executive orders to the extent they are applicable to the Agreement: (a) all applicable requirements of state civil rights and rehabilitation statutes, rules and regulations; (b) all state laws requiring reporting of client abuse; (c) ORS 659A.400 to 659A.409, ORS 659A.145 and all regulations and administrative rules established pursuant to those laws in the construction, remodeling, maintenance and operation of any structures and facilities, and in the conduct of all programs, services and training associated with the Work. These laws, regulations and executive orders are incorporated by reference herein to the extent that they are applicable to the Agreement and required by law to be so incorporated. All employers, including County and ODHS, that employ subject workers who provide services in the State of Oregon shall comply with ORS 656.017 and provide the required Workers’ Compensation coverage, unless such employers are exempt under ORS 656.126. Nothing in this Agreement shall require County or ODHS to act in violation of state or federal law or the Constitution of the State of Oregon.
3. **Independent Contractors.** The parties agree and acknowledge that their relationship is that of independent contracting parties and that County is not an officer, employee, or agent of the State of Oregon as those terms are used in ORS 30.265 or otherwise.
4. **Representations and Warranties.**
  - a. County represents and warrants as follows:
    - (1) **Organization and Authority.** County is a political subdivision of the State of Oregon duly organized and validly existing under the laws of the State of Oregon. County has full power, authority and legal right to make this Agreement and to incur and perform its obligations hereunder.

- (2) Due Authorization. The making and performance by County of this Agreement (a) have been duly authorized by all necessary action by County and (b) do not and will not violate any provision of any applicable law, rule, regulation, or order of any court, regulatory commission, board, or other administrative agency or any provision of County's charter or other organizational document and (c) do not and will not result in the breach of, or constitute a default or require any consent under any other agreement or instrument to which County is a party or by which County may be bound or affected. No authorization, consent, license, approval of, filing or registration with or notification to any governmental body or regulatory or supervisory authority is required for the execution, delivery or performance by County of this Agreement.
  - (3) Binding Obligation. This Agreement has been duly executed and delivered by County and constitutes a legal, valid and binding obligation of County, enforceable in accordance with its terms subject to the laws of bankruptcy, insolvency, or other similar laws affecting the enforcement of creditors' rights generally.
  - (4) County has the skill and knowledge possessed by well-informed members of its industry, trade or profession and County will apply that skill and knowledge with care and diligence to perform the Work in a professional manner and in accordance with standards prevalent in County's industry, trade or profession;
  - (5) County shall, at all times during the term of this Agreement, be qualified, professionally competent, and duly licensed to perform the Work; and
  - (6) County prepared its proposal related to this Agreement, if any, independently from all other proposers, and without collusion, fraud, or other dishonesty.
- b.** ODHS represents and warrants as follows:
- (1) Organization and Authority. ODHS has full power, authority, and legal right to make this Agreement and to incur and perform its obligations hereunder.
  - (2) Due Authorization. The making and performance by ODHS of this Agreement (a) have been duly authorized by all necessary action by ODHS and (b) do not and will not violate any provision of any applicable law, rule, regulation, or order of any court, regulatory commission, board, or other administrative agency and (c) do not and will not result in the breach of, or constitute a default or require any consent under any other agreement or instrument to which ODHS is a party or by which ODHS may be bound or affected. No authorization, consent, license, approval of, filing or registration with or notification to any governmental body or regulatory or supervisory authority is required for the execution, delivery or performance by ODHS of this Agreement, other than approval by the Department of Justice if required by law.

(3) **Binding Obligation.** This Agreement has been duly executed and delivered by ODHS and constitutes a legal, valid and binding obligation of ODHS, enforceable in accordance with its terms subject to the laws of bankruptcy, insolvency, or other similar laws affecting the enforcement of creditors' rights generally.

c. **Warranties Cumulative.** The warranties set forth in this section are in addition to, and not in lieu of, any other warranties provided.

**5. Funds Available and Authorized Clause.**

a. The State of Oregon's payment obligations under this Agreement are conditioned upon ODHS receiving funding, appropriations, limitations, allotment, or other expenditure authority sufficient to allow ODHS, in the exercise of its reasonable administrative discretion, to meet its payment obligations under this Agreement. County is not entitled to receive payment under this Agreement from any part of Oregon state government other than ODHS. Nothing in this Agreement is to be construed as permitting any violation of Article XI, Section 7 of the Oregon Constitution or any other law regulating liabilities or monetary obligations of the State of Oregon. ODHS represents that as of the date it executes this Agreement, it has sufficient appropriations and limitation for the current biennium to make payments under this Agreement.

b. **Payment Method.** Payments under this Agreement will be made by Electronic Funds Transfer (EFT) and shall be processed in accordance with the provisions of OAR 407-120-0100 through 407-120-0380 or OAR 410-120-1260 through OAR 410-120-1460, as applicable, and any other Oregon Administrative Rules that are program-specific to the billings and payments. Upon request, County shall provide its taxpayer identification number (TIN) and other necessary banking information to receive EFT payment. County shall maintain at its own expense a single financial institution or authorized payment agent capable of receiving and processing EFT using the Automated Clearing House (ACH) transfer method. The most current designation and EFT information will be used for all payments under this Agreement. County shall provide this designation and information on a form provided by ODHS. In the event that EFT information changes or County elects to designate a different financial institution for the receipt of any payment made using EFT procedures, County shall provide the changed information or designation to ODHS on an ODHS-approved form. ODHS is not required to make any payment under this Agreement until receipt of the correct EFT designation and payment information from County.

**6. Recovery of Overpayments.** If billings under this Agreement, or under any other Agreement between County and ODHS, result in payments to County to which County is not entitled, ODHS, after giving to County written notification and an opportunity to object, may withhold from payments due to County such amounts, over such periods of time, as are necessary to recover the amount of the overpayment. Prior to withholding, if County objects to the withholding or the amount proposed to be withheld, County shall notify ODHS that it wishes to engage in dispute resolution in accordance with Section 18 of this Agreement.

**7. Ownership of Intellectual Property.**

- a. Definitions.** As used in this Section 7 and elsewhere in this Agreement, the following terms have the meanings set forth below:

  - (1) “County Intellectual Property” means any intellectual property owned by County and developed independently from the Work.
  - (2) “Third Party Intellectual Property” means any intellectual property owned by parties other than ODHS or County.
- b.** Except as otherwise expressly provided herein, or as otherwise required by state or federal law, ODHS will not own the right, title and interest in any intellectual property created or delivered by County or a subcontractor in connection with the Work. With respect to that portion of the intellectual property that County owns, County grants to ODHS a perpetual, worldwide, non-exclusive, royalty-free and irrevocable license, subject to any provisions in the Agreement that restrict or prohibit dissemination or disclosure of information, to (1) use, reproduce, prepare derivative works based upon, distribute copies of, perform and display the intellectual property, (2) authorize third parties to exercise the rights set forth in Section 7.b.(1) on ODHS’ behalf, and (3) sublicense to third parties the rights set forth in Section 7.b.(1).
- c.** If state or federal law requires that ODHS or County grant to the United States a license to any intellectual property, or if state or federal law requires that ODHS or the United States own the intellectual property, then County shall execute such further documents and instruments as ODHS may reasonably request in order to make any such grant or to assign ownership in the intellectual property to the United States or ODHS. To the extent that ODHS becomes the owner of any intellectual property created or delivered by County in connection with the Work, ODHS will grant a perpetual, worldwide, non-exclusive, royalty-free and irrevocable license, subject to any provisions in the Agreement that restrict or prohibit dissemination or disclosure of information, to County to use, copy, distribute, display, build upon and improve the intellectual property.
- d.** County shall include in its subcontracts terms and conditions necessary to require that subcontractors execute such further documents and instruments as ODHS may reasonably request in order to make any grant of license or assignment of ownership that may be required by federal or state law.

**8. County Default.** County shall be in default under this Agreement upon the occurrence of any of the following events:

- a.** County fails to perform, observe or discharge any of its covenants, agreements or obligations set forth herein;
- b.** Any representation, warranty or statement made by County herein or in any documents or reports relied upon by ODHS to measure the delivery of Work, the expenditure of payments or the performance by County is untrue in any material respect when made;

- c. County (1) applies for or consents to the appointment of, or taking of possession by, a receiver, custodian, trustee, or liquidator of itself or all of its property, (2) admits in writing its inability, or is generally unable, to pay its debts as they become due, (3) makes a general assignment for the benefit of its creditors, (4) is adjudicated a bankrupt or insolvent, (5) commences a voluntary case under the Federal Bankruptcy Code (as now or hereafter in effect), (6) files a petition seeking to take advantage of any other law relating to bankruptcy, insolvency, reorganization, winding-up, or composition or adjustment of debts, (7) fails to controvert in a timely and appropriate manner, or acquiesces in writing to, any petition filed against it in an involuntary case under the Bankruptcy Code, or (8) takes any action for the purpose of effecting any of the foregoing; or
- d. A proceeding or case is commenced, without the application or consent of County, in any court of competent jurisdiction, seeking (1) the liquidation, dissolution or winding-up, or the composition or readjustment of debts, of County, (2) the appointment of a trustee, receiver, custodian, liquidator, or the like of County or of all or any substantial part of its assets, or (3) similar relief in respect to County under any law relating to bankruptcy, insolvency, reorganization, winding-up, or composition or adjustment of debts, and such proceeding or case continues undismissed, or an order, judgment, or decree approving or ordering any of the foregoing is entered and continues unstayed and in effect for a period of sixty consecutive days, or an order for relief against County is entered in an involuntary case under the Federal Bankruptcy Code (as now or hereafter in effect).

9. **ODHS Default.** ODHS shall be in default under this Agreement upon the occurrence of any of the following events:

- a. ODHS fails to perform, observe or discharge any of its covenants, agreements, or obligations set forth herein; or
- b. Any representation, warranty or statement made by ODHS herein or in any documents or reports relied upon by County to measure performance by ODHS is untrue in any material respect when made.

10. **Termination.**

- a. **County Termination.** County may terminate this Agreement:
  - (1) For its convenience, upon at least 30 days advance written notice to ODHS;
  - (2) Upon 45 days advance written notice to ODHS, if County does not obtain funding, appropriations and other expenditure authorizations from County's governing body, federal, state or other sources sufficient to permit County to satisfy its performance obligations under this Agreement, as determined by County in the reasonable exercise of its administrative discretion;
  - (3) Upon 30 days advance written notice to ODHS, if ODHS is in default under this Agreement and such default remains uncured at the end of said

30-day period or such longer period, if any, as County may specify in the notice; or

- (4) Immediately upon written notice to ODHS, if Oregon statutes or federal laws, regulations or guidelines are modified, changed or interpreted by the Oregon Legislative Assembly, the federal government or a court in such a way that County no longer has the authority to meet its obligations under this Agreement.

**b. ODHS Termination.** ODHS may terminate this Agreement:

- (1) For its convenience, upon at least 30 days advance written notice to County;
- (2) Upon 45 days advance written notice to County, if ODHS does not obtain funding, appropriations and other expenditure authorizations from federal, state or other sources sufficient to meet the payment obligations of ODHS under this Agreement, as determined by ODHS in the reasonable exercise of its administrative discretion. Notwithstanding the preceding sentence, ODHS may terminate this Agreement, immediately upon written notice to County or at such other time as it may determine if action by the Oregon Legislative Assembly or Emergency Board reduces ODHS' legislative authorization for expenditure of funds to such a degree that ODHS will no longer have sufficient expenditure authority to meet its payment obligations under this Agreement, as determined by ODHS in the reasonable exercise of its administrative discretion, and the effective date for such reduction in expenditure authorization is less than 45 days from the date the action is taken;
- (3) Immediately upon written notice to County if Oregon statutes or federal laws, regulations or guidelines are modified, changed or interpreted by the Oregon Legislative Assembly, the federal government or a court in such a way that ODHS no longer has the authority to meet its obligations under this Agreement or no longer has the authority to provide payment from the funding source it had planned to use;
- (4) Upon 30 days advance written notice to County, if County is in default under this Agreement and such default remains uncured at the end of said 30 day period or such longer period, if any, as ODHS may specify in the notice;
- (5) Immediately upon written notice to County, if any license or certificate required by law or regulation to be held by County or a subcontractor to perform the Work is for any reason denied, revoked, suspended, not renewed or changed in such a way that County or a subcontractor no longer meets requirements to perform the Work. This termination right may only be exercised with respect to the particular part of the Work impacted by loss of necessary licensure or certification; or
- (6) Immediately upon written notice to County, if ODHS determines that County or any of its subcontractors have endangered or are endangering

the health or safety of a client or others in performing work covered by this Agreement.

- c. **Mutual Termination.** The Agreement may be terminated immediately upon mutual written consent of the parties or at such time as the parties may agree in the written consent.

**11. Effect of Termination.**

**a. Entire Agreement.**

- (1) Upon termination of this Agreement, ODHS shall have no further obligation to pay County under this Agreement.
- (2) Upon termination of this Agreement, County shall have no further obligation to perform Work under this Agreement.

- b. **Obligations and Liabilities.** Notwithstanding Section 11.a., any termination of this Agreement shall not prejudice any obligations or liabilities of either party accrued prior to such termination.

**12. Limitation of Liabilities.** NEITHER PARTY SHALL BE LIABLE TO THE OTHER FOR ANY INCIDENTAL OR CONSEQUENTIAL DAMAGES ARISING OUT OF OR RELATED TO THIS AGREEMENT. NEITHER PARTY SHALL BE LIABLE FOR ANY DAMAGES OF ANY SORT ARISING SOLELY FROM THE TERMINATION OF THIS AGREEMENT OR ANY PART HEREOF IN ACCORDANCE WITH ITS TERMS.

**13. Insurance.** County shall require subcontractors to maintain insurance as set forth in Exhibit C, which is attached hereto.

**14. Records Maintenance; Access.** County shall maintain all financial records relating to this Agreement in accordance with generally accepted accounting principles. In addition, County shall maintain any other records, books, documents, papers, plans, records of shipments and payments and writings of County, whether in paper, electronic or other form, that are pertinent to this Agreement in such a manner as to clearly document County's performance. All financial records, other records, books, documents, papers, plans, records of shipments and payments and writings of County whether in paper, electronic or other form, that are pertinent to this Agreement, are collectively referred to as "Records." County acknowledges and agrees that ODHS and the Oregon Secretary of State's Office and the federal government and their duly authorized representatives shall have access to all Records to perform examinations and audits and make excerpts and transcripts. County shall retain and keep accessible all Records for a minimum of six years, or such longer period as may be required by applicable law, following final payment and termination of this Agreement, or until the conclusion of any audit, controversy or litigation arising out of or related to this Agreement, whichever date is later. County shall maintain Records in accordance with the records retention schedules set forth in OAR Chapter 166.

**15. Information Privacy/Security/Access.** If the Work performed under this Agreement requires County or its subcontractor(s) to have access to or use of any ODHS computer system or other ODHS Information Asset for which ODHS imposes security

requirements, and ODHS grants County or its subcontractor(s) access to such ODHS Information Assets or Network and Information Systems, County shall comply and require all subcontractor(s) to which such access has been granted to comply with OAR 407-014-0300 through OAR 407-014-0320, as such rules may be revised from time to time. For purposes of this section, "Information Asset" and "Network and Information System" have the meaning set forth in OAR 407-014-0305, as such rule may be revised from time to time.

- 16. Force Majeure.** Neither ODHS nor County shall be held responsible for delay or default caused by fire, civil unrest, labor unrest, natural causes, or war which is beyond the reasonable control of ODHS or County, respectively. Each party shall, however, make all reasonable efforts to remove or eliminate such cause of delay or default and shall, upon the cessation of the cause, diligently pursue performance of its obligations under this Agreement. ODHS may terminate this Agreement upon written notice to the other party after reasonably determining that the delay or breach will likely prevent successful performance of this Agreement.
- 17. Assignment of Agreement, Successors in Interest.**

  - a.** County shall not assign or transfer its interest in this Agreement without prior written approval of ODHS. Any such assignment or transfer, if approved, is subject to such conditions and provisions as ODHS may deem necessary. No approval by ODHS of any assignment or transfer of interest shall be deemed to create any obligation of ODHS in addition to those set forth in the Agreement.
  - b.** The provisions of this Agreement shall be binding upon and shall inure to the benefit of the parties hereto, and their respective successors and permitted assigns.
- 18. Alternative Dispute Resolution.** The parties should attempt in good faith to resolve any dispute arising out of this agreement. This may be done at any management level, including at a level higher than persons directly responsible for administration of the agreement. In addition, the parties may agree to utilize a jointly selected mediator or arbitrator (for non-binding arbitration) to resolve the dispute short of litigation.
- 19. Subcontracts.** County shall not enter into any subcontracts for any of the Work required by this Agreement without ODHS' prior written consent. In addition to any other provisions ODHS may require, County shall include in any permitted subcontract under this Agreement provisions to require that ODHS will receive the benefit of subcontractor performance as if the subcontractor were County with respect to Sections 1, 2, 3, 4, 7, 15, 16, 18, 19, 20, and 22 of this Exhibit B. ODHS' consent to any subcontract shall not relieve County of any of its duties or obligations under this Agreement.
- 20. No Third Party Beneficiaries.** ODHS and County are the only parties to this Agreement and are the only parties entitled to enforce its terms. The parties agree that County's performance under this Agreement is solely for the benefit of ODHS to assist and enable ODHS to accomplish its statutory mission. Nothing in this Agreement gives, is intended to give, or shall be construed to give or provide any benefit or right, whether directly, indirectly or otherwise, to third persons any greater than the rights and benefits enjoyed by the general public unless such third persons are individually identified by name herein and expressly described as intended beneficiaries of the terms of this Agreement.



21. **Amendments.** No amendment, modification or change of terms of this Agreement shall bind either party unless in writing and signed by both parties and, when required, approved by the Department of Justice. Such amendment, modification, or change, if made, shall be effective only in the specific instance and for the specific purpose given.
22. **Severability.** The parties agree that if any term or provision of this Agreement is declared by a court of competent jurisdiction to be illegal or in conflict with any law, the validity of the remaining terms and provisions shall not be affected, and the rights and obligations of the parties shall be construed and enforced as if the Agreement did not contain the particular term or provision held to be invalid.
23. **Survival.** Sections 1, 4, 5, 6, 7, 10, 12, 13, 14, 15, 18, 20, 21, 22, 23, 24, 25, 26, 27, and 28 of this Exhibit B shall survive Agreement expiration or termination as well as those the provisions of this Agreement that by their context are meant to survive. Agreement expiration or termination shall not extinguish or prejudice either party's right to enforce this Agreement with respect to any default by the other party that has not been cured.
24. **Notice.** Except as otherwise expressly provided in this Agreement, any communications between the parties hereto or notices to be given hereunder shall be given in writing by personal delivery, facsimile, or mailing the same, postage prepaid to County or ODHS at the address or number set forth in this Agreement, or to such other addresses or numbers as either party may indicate pursuant to this section. Any communication or notice so addressed and mailed by regular mail shall be deemed received and effective five days after the date of mailing. Any communication or notice delivered by facsimile shall be deemed received and effective on the day the transmitting machine generates a receipt of the successful transmission, if transmission was during normal business hours of the recipient, or on the next business day if transmission was outside normal business hours of the recipient. Notwithstanding the forgoing, to be effective against the other party, any notice transmitted by facsimile must be confirmed by telephone notice to the other party. Any communication or notice given by personal delivery shall be deemed effective when actually delivered to the addressee.  
  
**ODHS:** Office of Contracts & Procurement  
635 Capitol Street NE, Suite 350  
Salem, OR 97301  
Telephone: 503-945-5818  
Facsimile: 503-378-4324
25. **Headings.** The headings and captions to sections of this Agreement have been inserted for identification and reference purposes only and shall not be used to construe the meaning or to interpret this Agreement.
26. **Waiver.** The failure of either party to enforce any provision of this Agreement shall not constitute a waiver by that party of that or any other provision. No waiver or consent shall be effective unless in writing and signed by the party against whom it is asserted.
27. **Contribution.** If any third party makes any claim or brings any action, suit or proceeding alleging a tort as now or hereafter defined in ORS 30.260 ("Third Party Claim") against a party (the "Notified Party") with respect to which the other party ("Other Party") may have liability, the Notified Party must promptly notify the Other Party in writing of the

Third Party Claim and deliver to the Other Party a copy of the claim, process, and all legal pleadings with respect to the Third Party Claim. Either party is entitled to participate in the defense of a Third Party Claim, and to defend a Third Party Claim with counsel of its own choosing. Receipt by the Other Party of the notice and copies required in this paragraph and meaningful opportunity for the Other Party to participate in the investigation, defense and settlement of the Third Party Claim with counsel of its own choosing are conditions precedent to the Other Party's liability with respect to the Third Party Claim.

With respect to a Third Party Claim for which the State is jointly liable with County (or would be if joined in the Third Party Claim ), the State shall contribute to the amount of expenses (including attorneys' fees), judgments, fines and amounts paid in settlement actually and reasonably incurred and paid or payable by County in such proportion as is appropriate to reflect the relative fault of the State on the one hand and of County on the other hand in connection with the events which resulted in such expenses, judgments, fines or settlement amounts, as well as any other relevant equitable considerations. The relative fault of the State on the one hand and of the County on the other hand shall be determined by reference to, among other things, the parties' relative intent, knowledge, access to information and opportunity to correct or prevent the circumstances resulting in such expenses, judgments, fines or settlement amounts. The State's contribution amount in any instance is capped to the same extent it would have been capped under Oregon law if the State had sole liability in the proceeding.

With respect to a Third Party Claim for which County is jointly liable with the State (or would be if joined in the Third Party Claim), County shall contribute to the amount of expenses (including attorneys' fees), judgments, fines and amounts paid in settlement actually and reasonably incurred and paid or payable by the State in such proportion as is appropriate to reflect the relative fault of County on the one hand and of the State on the other hand in connection with the events which resulted in such expenses, judgments, fines or settlement amounts, as well as any other relevant equitable considerations. The relative fault of County on the one hand and of the State on the other hand shall be determined by reference to, among other things, the parties' relative intent, knowledge, access to information and opportunity to correct or prevent the circumstances resulting in such expenses, judgments, fines or settlement amounts. County's contribution amount in any instance is capped to the same extent it would have been capped under Oregon law if it had sole liability in the proceeding.

- 28. Indemnification by Subcontractors.** County shall take all reasonable steps to cause its contractor(s) that are not units of local government as defined in ORS 190.003, if any, to indemnify, defend, save and hold harmless the State of Oregon and its officers, employees and agents ("Indemnitee") from and against any and all claims, actions, liabilities, damages, losses, or expenses (including attorneys' fees) arising from a tort (as now or hereafter defined in ORS 30.260) caused, or alleged to be caused, in whole or in part, by the negligent or willful acts or omissions of County's contractor or any of the officers, agents, employees or subcontractors of the contractor ("Claims"). It is the specific intention of the parties that the Indemnitee shall, in all instances, except for Claims arising solely from the negligent or willful acts or omissions of the Indemnitee, be indemnified by the contractor from and against any and all Claims.

- 29. Stop-Work Order.** ODHS may, at any time, by written notice to County, require County to stop all, or any part of the work required by this Agreement for a period of up to 90 days after the date of the notice, or for any further period to which the parties may agree through a duly executed amendment. Upon receipt of the notice, County shall immediately comply with the Stop-Work Order terms and take all necessary steps to minimize the incurrence of costs allocable to the work affected by the stop work order notice. Within a period of 90 days after issuance of the written notice, or within any extension of that period to which the parties have agreed, ODHS shall either:
- a.** Cancel or modify the stop work order by a supplementary written notice; or
  - b.** Terminate the work as permitted by either the Default or the Convenience provisions of Section 10. Termination.

If the Stop Work Order is canceled, ODHS may, after receiving and evaluating a request by County, make an adjustment in the time required to complete this Agreement and the Agreement price by a duly executed amendment.

## EXHIBIT C

### Subcontractor Insurance Requirements

County shall require its first tier contractor(s) (Contractor) that are not units of County as defined in ORS 190.003, if any, to: i) obtain insurance specified under TYPES AND AMOUNTS and meeting the requirements under ADDITIONAL INSURED, CONTINUOUS CLAIMS MADE COVERAGE, NOTICE OF CANCELLATION OR CHANGE, and CERTIFICATES OF INSURANCE before the contractors perform under contracts between County and the contractors (the "Subcontracts"), and ii) maintain the insurance in full force throughout the duration of the Subcontracts. The insurance must be provided by insurance companies or entities that are authorized to transact the business of insurance and issue coverage in the State of Oregon and that are acceptable to ODHS. County shall not authorize contractors to begin work under the Subcontracts until the insurance is in full force. Thereafter, County shall monitor continued compliance with the insurance requirements on an annual or more frequent basis. County shall incorporate appropriate provisions in the Subcontracts permitting it to enforce contractor compliance with the insurance requirements and shall take all reasonable steps to enforce such compliance. Examples of "reasonable steps" include issuing stop work orders (or the equivalent) until the insurance is in full force or terminating the Subcontracts as permitted by the Subcontracts, or pursuing legal action to enforce the insurance requirements. In no event shall County permit a contractor to work under a Subcontract when the County is aware that the contractor is not in compliance with the insurance requirements. As used in this section, a "first tier" contractor is a contractor with which the County directly enters into a contract. It does not include a subcontractor with which the contractor enters into a contract.

#### TYPES AND AMOUNTS

##### WORKERS' COMPENSATION & EMPLOYERS' LIABILITY

All employers, including Contractor, that employ subject workers, as defined in ORS 656.027, shall comply with ORS 656.017 and shall provide workers' compensation insurance coverage for those workers, unless they meet the requirement for an exemption under ORS 656.126(2). Contractor shall require and ensure that each of its subcontractors complies with these requirements. If Contractor is a subject employer, as defined in ORS 656.023, Contractor shall also obtain employers' liability insurance coverage with limits not less than \$500,000 each accident. If contractor is an employer subject to any other state's workers' compensation law, Contractor shall provide workers' compensation insurance coverage for its employees as required by applicable workers' compensation laws including employers' liability insurance coverage with limits not less than \$500,000 and shall require and ensure that each of its out-of-state subcontractors complies with these requirements.

##### COMMERCIAL GENERAL LIABILITY:

###### Required

Commercial General Liability Insurance covering bodily injury and property damage in a form and with coverage that are satisfactory to the State. This insurance shall include personal and advertising injury liability, products and completed operations, contractual liability coverage for the indemnity provided under this contract, and have no limitation of coverage to designated premises, project or operation. Coverage shall be written on an occurrence basis in an amount of not less than \$1,000,000 per occurrence. Annual aggregate limit shall not be less than \$2,000,000.

**AUTOMOBILE LIABILITY INSURANCE:**

**Required**     **Not required**

Automobile Liability Insurance covering Contractor’s business use including coverage for all owned, non-owned, or hired vehicles with a combined single limit of not less than \$1,000,000 for bodily injury and property damage. This coverage may be written in combination with the Commercial General Liability Insurance (with separate limits for Commercial General Liability and Automobile Liability). Use of personal automobile liability insurance coverage may be acceptable if evidence that the policy includes a business use endorsement is provided.

**PROFESSIONAL LIABILITY:**

**Required**     **Not required**

**Professional Liability insurance** covering any damages caused by an error, omission or any negligent acts related to the services to be provided under this Contract by the Contractor and Contractor’s subcontractors, agents, officers or employees in an amount not less than \$1,000,000 per claim. Annual aggregate limit shall not be less than \$2,000,000. If coverage is on a claims made basis, then either an extended reporting period of not less than 24 months shall be included in the Professional Liability insurance coverage, or the Contractor and Subcontractors shall provide continuous claims made coverage as stated below.

**NETWORK SECURITY AND PRIVACY LIABILITY:**

**Required**     **Not required**

**POLLUTION LIABILITY:**

**Required**     **Not required**

**EXCESS/UMBRELLA INSURANCE:**

A combination of primary and excess/umbrella insurance may be used to meet the required limits of insurance.

**ADDITIONAL COVERAGE REQUIREMENTS:**

Contractor’s insurance shall be primary and non-contributory with any other insurance. Contractor shall pay for all deductibles, self-insured retention and self-insurance, if any.

**ADDITIONAL INSURED:**

All liability insurance, except for Workers’ Compensation, Professional Liability, and Network Security and Privacy Liability (if applicable), required under this Subcontract must include an additional insured endorsement specifying the State of Oregon, its officers, employees and agents as Additional Insureds, including additional insured status with respect to liability arising out of ongoing operations and completed operations, but only with respect to Contractor's activities to be performed under this Contract. Coverage shall be primary and non-contributory with any other insurance and self-insurance. The Additional Insured endorsement with respect to liability arising out of your ongoing operations must be on ISO Form CG 20 10 07 04 or equivalent and the Additional Insured endorsement with respect to completed operations must be on ISO form CG 20 37 07 04 or equivalent.

**WAIVER OF SUBROGATION:**

Contractor shall waive rights of subrogation which Contractor or any insurer of Contractor may acquire against the ODHS or State of Oregon by virtue of the payment of any loss. Contractor will obtain any endorsement that may be necessary to affect this waiver of subrogation, but this provision applies regardless of whether or not the ODHS has received a waiver of subrogation endorsement from the Contractor or the Contractor’s insurer(s).

**CONTINUOUS CLAIMS MADE COVERAGE:**

If any of the required liability insurance is on a claims made basis and does not include an extended reporting period of at least 24 months, then Contractor shall maintain continuous claims made liability coverage, provided the effective date of the continuous claims made coverage is on or before the effective date of the Contract, for a minimum of 24 months following the later of:

- (i) Contractor’s completion and ODHS’s acceptance of all Services required under the Contract, or
- (i) ODHS or Contractor termination of this Contract, or
- (ii) The expiration of all warranty periods provided under this Contract.

**CERTIFICATE(S) AND PROOF OF INSURANCE:**

County shall obtain from the Contractor a Certificate(s) of Insurance for all required insurance before delivering any Goods and performing any Services required under this Contract. The Certificate(s) shall list the State of Oregon, its officers, employees and agents as a Certificate holder and as an endorsed Additional Insured. The Certificate(s) shall also include all required endorsements or copies of the applicable policy language effecting coverage required by this contract. If excess/umbrella insurance is used to meet the minimum insurance requirement, the Certificate of Insurance must include a list of all policies that fall under the excess/umbrella insurance. As proof of insurance ODHS has the right to request copies of insurance policies and endorsements relating to the insurance requirements in this Contract.

**NOTICE OF CHANGE OR CANCELLATION:**

The Contractor or its insurer must provide at least 30 days’ written notice to County before cancellation of, material change to, potential exhaustion of aggregate limits of, or non-renewal of the required insurance coverage(s).

**INSURANCE REQUIREMENT REVIEW:**

Contractor agrees to periodic review of insurance requirements by ODHS under this agreement and to provide updated requirements as mutually agreed upon by Contractor and ODHS.

**STATE ACCEPTANCE:**

All insurance providers are subject to ODHS acceptance. If requested by ODHS, Contractor shall provide complete copies of insurance policies, endorsements, self-insurance documents and related insurance documents to ODHS’s representatives responsible for verification of the insurance coverages required under this Exhibit C.

## EXHIBIT D

### Federal Terms and Conditions

**General Applicability and Compliance.** Unless exempt under 45 CFR Part 87 for Faith-Based Organizations (Federal Register, July 16, 2004, Volume 69, #136), or other federal provisions, County shall comply and, as indicated, require all subcontractors to comply with the following federal requirements to the extent that they are applicable to this Agreement, to County, or to the Work, or to any combination of the foregoing. For purposes of this Agreement, all references to federal and state laws are references to federal and state laws as they may be amended from time to time.

- 1. Miscellaneous Federal Provisions.** County shall comply and require all subcontractors to comply with all federal laws, regulations, and executive orders applicable to the Agreement or to the delivery of Work. Without limiting the generality of the foregoing, County expressly agrees to comply and require all subcontractors to comply with the following laws, regulations and executive orders to the extent they are applicable to the Agreement: (a) Title VI and VII of the Civil Rights Act of 1964, as amended, (b) Sections 503 and 504 of the Rehabilitation Act of 1973, as amended, (c) the Americans with Disabilities Act of 1990, as amended, (d) Executive Order 11246, as amended, (e) the Health Insurance Portability and Accountability Act of 1996, as amended, (f) the Age Discrimination in Employment Act of 1967, as amended, and the Age Discrimination Act of 1975, as amended, (g) the Vietnam Era Veterans' Readjustment Assistance Act of 1974, as amended, (h) all regulations and administrative rules established pursuant to the foregoing laws, (i) all other applicable requirements of federal civil rights and rehabilitation statutes, rules and regulations, and (j) all federal laws requiring reporting of client abuse. These laws, regulations and executive orders are incorporated by reference herein to the extent that they are applicable to the Agreement and required by law to be so incorporated. No federal funds may be used to provide Work in violation of 42 U.S.C. 14402.
- 2. Equal Employment Opportunity.** If this Agreement, including amendments, is for more than \$10,000, then County shall comply and require all subcontractors to comply with Executive Order 11246, entitled "Equal Employment Opportunity," as amended by Executive Order 11375, and as supplemented in Department of Labor regulations (41 CFR Part 60).
- 3. Clean Air, Clean Water, EPA Regulations.** If this Agreement, including amendments, exceeds \$100,000 then County shall comply and require all subcontractors to comply with all applicable standards, orders, or requirements issued under Section 306 of the Clean Air Act (42 U.S.C. 7606), the Federal Water Pollution Control Act as amended (commonly known as the Clean Water Act) (33 U.S.C. 1251 to 1387), specifically including, but not limited to Section 508 (33 U.S.C. 1368), Executive Order 11738, and Environmental Protection Agency regulations (2 CFR Part 1532), which prohibit the use under non-exempt Federal contracts, grants or loans of facilities included on the EPA List of Violating Facilities. Violations shall be reported to ODHS, United States Department of Health and Human Services and the appropriate Regional Office of the Environmental Protection Agency. County shall include and require all subcontractors to include in all

contracts with subcontractors receiving more than \$100,000, language requiring the subcontractor to comply with the federal laws identified in this section.

4. **Energy Efficiency.** County shall comply and require all subcontractors to comply with applicable mandatory standards and policies relating to energy efficiency that are contained in the Oregon energy conservation plan issued in compliance with the Energy Policy and Conservation Act 42 U.S.C. 6201 et. seq. (Pub. L. 94-163).
5. **Truth in Lobbying.** By signing this Agreement, County certifies, to the best of the County's knowledge and belief that:
  - a. No federal appropriated funds have been paid or will be paid, by or on behalf of County, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment or modification of any federal contract, grant, loan or cooperative agreement.
  - b. If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this federal contract, grant, loan or cooperative agreement, County shall complete and submit Standard Form LLL, "Disclosure Form to Report Lobbying" in accordance with its instructions.
  - c. County shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients and subcontractors shall certify and disclose accordingly.
  - d. This certification is a material representation of fact upon which reliance was placed when this Agreement was made or entered into. Submission of this certification is a prerequisite for making or entering into this Agreement imposed by section 1352, Title 31 of the U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.
  - e. No part of any federal funds paid to County under this Agreement shall be used, other than for normal and recognized executive legislative relationships, for publicity or propaganda purposes, for the preparation, distribution, or use of any kit, pamphlet, booklet, publication, electronic communication, radio, television, or video presentation designed to support or defeat the enactment of legislation before the United States Congress or any State or local legislature itself, or designed to support or defeat any proposed or pending regulation, administrative action, or order issued by the executive branch of any State or local government itself.



- f.** No part of any federal funds paid to County under this Agreement shall be used to pay the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive order proposed or pending before the United States Congress or any State government, State legislature or local legislature or legislative body, other than for normal and recognized executive-legislative relationships or participation by an agency or officer of a State, local or tribal government in policymaking and administrative processes within the executive branch of that government.
  - g.** The prohibitions in subsections (e) and (f) of this section shall include any activity to advocate or promote any proposed, pending or future Federal, State or local tax increase, or any proposed, pending, or future requirement or restriction on any legal consumer product, including its sale or marketing, including but not limited to the advocacy or promotion of gun control.
  - h.** No part of any federal funds paid to County under this Agreement may be used for any activity that promotes the legalization of any drug or other substance included in schedule I of the schedules of controlled substances established under section 202 of the Controlled Substances Act except for normal and recognized executive congressional communications. This limitation shall not apply when there is significant medical evidence of a therapeutic advantage to the use of such drug or other substance of that federally sponsored clinical trials are being conducted to determine therapeutic advantage.
- 6. Resource Conservation and Recovery.** County shall comply and require all subcontractors to comply with all mandatory standards and policies that relate to resource conservation and recovery pursuant to the Resource Conservation and Recovery Act (codified at 42 U.S.C. 6901 et. seq.). Section 6002 of that Act (codified at 42 U.S.C. 6962) requires that preference be given in procurement programs to the purchase of specific products containing recycled materials identified in guidelines developed by the Environmental Protection Agency. Current guidelines are set forth in 40 CFR Part 247.
- 7. Audits.**
- a.** County shall comply, and require any subcontractor to comply, with applicable audit requirements and responsibilities set forth in this Agreement and applicable state or federal law.
  - b.** If County expends \$750,000 or more in federal funds (from all sources) in a federal fiscal year, County shall have a single organization-wide audit conducted in accordance with the provisions of 2 CFR Subtitle B with guidance at 2 CFR Part 200. Copies of all audits must be submitted to ODHS within 30 days of completion. If County expends less than \$750,000 in a federal fiscal year, Recipient is exempt from Federal audit requirements for that year. Records must be available as provided in Exhibit B, “Records Maintenance, Access”.
- 8. Debarment and Suspension.** County shall not permit any person or entity to be a subcontractor if the person or entity is listed on the non-procurement portion of the General Service Administration’s “List of Parties Excluded from Federal Procurement or

Non-procurement Programs” in accordance with Executive Orders No. 12549 and No. 12689, “Debarment and Suspension”. (See 2 CFR Part 180.) This list contains the names of parties debarred, suspended, or otherwise excluded by agencies, and contractors declared ineligible under statutory authority other than Executive Order No. 12549. Subcontractors with awards that exceed the simplified acquisition threshold shall provide the required certification regarding their exclusion status and that of their principals prior to award.

9. **Pro-Children Act.** County shall comply and require all subcontractors to comply with the Pro-Children Act of 1994 (codified at 20 U.S.C. Section 6081 et. seq.).
10. **Medicaid Services.** County shall comply with all applicable federal and state laws and regulation pertaining to the provision of Medicaid Services under the Medicaid Act, Title XIX, 42 U.S.C. Section 1396 et. seq., including without limitation:
  - a. Keep such records as are necessary to fully disclose the extent of the services provided to individuals receiving Medicaid assistance and shall furnish such information to any state or federal agency responsible for administering the Medicaid program regarding any payments claimed by such person or institution for providing Medicaid Services as the state or federal agency may from time to time request. 42 U.S.C. Section 1396a(a)(27); 42 CFR Part 431.107(b)(1) & (2).
  - b. Comply with all disclosure requirements of 42 CFR Part 1002.3(a) and 42 CFR Part 455 Subpart (B).
  - c. Maintain written notices and procedures respecting advance directives in compliance with 42 U.S.C. Section 1396(a)(57) and (w), 42 CFR Part 431.107(b)(4), and 42 CFR Part 489 subpart I.
  - d. Certify when submitting any claim for the provision of Medicaid Services that the information submitted is true, accurate and complete. County shall acknowledge County’s understanding that payment of the claim will be from federal and state funds and that any falsification or concealment of a material fact may be prosecuted under federal and state laws.
  - e. Entities receiving \$5 million or more annually (under this Agreement and any other Medicaid contract) for furnishing Medicaid health care items or services shall, as a condition of receiving such payments, adopt written fraud, waste and abuse policies and procedures and inform employees, contractors and agents about the policies and procedures in compliance with Section 6032 of the Deficit Reduction Act of 2005, 42 U.S.C. § 1396a(a)(68).
11. **Agency-based Voter Registration.** If applicable, County shall comply with the Agency-based Voter Registration sections of the National Voter Registration Act of 1993 that require voter registration opportunities be offered where an individual may apply for or receive an application for public assistance.
12. **Disclosures.**
  - a. 42 CFR Part 455.104 requires the State Medicaid agency to obtain the following information from any provider of Medicaid or CHIP services, including fiscal agents of providers and managed care entities: (1) the name and address

(including the primary business address, every business location and P.O. Box address) of any person (individual or corporation) with an ownership or control interest in the provider, fiscal agent or managed care entity; (2) in the case of an individual, the date of birth and Social Security Number, or, in the case of a corporation, the tax identification number of the entity, with an ownership interest in the provider, fiscal agent or managed care entity or of any subcontractor in which the provider, fiscal agent or managed care entity has a 5% or more interest; (3) whether the person (individual or corporation) with an ownership or control interest in the provider, fiscal agent or managed care entity is related to another person with ownership or control interest in the provider, fiscal agent or managed care entity as a spouse, parent, child or sibling, or whether the person (individual or corporation) with an ownership or control interest in any subcontractor in which the provider, fiscal agent or managed care entity has a 5% or more interest is related to another person with ownership or control interest in the provider, fiscal agent or managed care entity as a spouse, parent, child or sibling; (4) the name of any other provider, fiscal agent or managed care entity in which an owner of the provider, fiscal agent or managed care entity has an ownership or control interest; and, (5) the name, address, date of birth and Social Security Number of any managing employee of the provider, fiscal agent or managed care entity.

- b.** County shall furnish to the State Medicaid agency or to the Health and Human Services (HHS) Secretary, within 35 days of the date of the request, full and complete information about the ownership of any subcontractor with whom County has had business transactions totaling more than \$25,000 during the previous 12 month period ending on the date of the request, and any significant business transactions between County, and any wholly owned supplier or between County and any subcontractor, during the five year period ending on the date of the request. See, 42 CFR 455.105.
- c.** 42 CFR Part 455.434 requires as a condition of enrollment as a Medicaid or CHIP provider, to consent to criminal background checks, including fingerprinting when required to do so under state law, or by the category of the provider based on risk of fraud, waste and abuse under federal law.
- d.** As such, County must disclose any person with a 5% or greater direct or indirect ownership interest in County whom has been convicted of a criminal offense related to that person's involvement with the Medicare, Medicaid, or title XXI program in the last 10 years.
- e.** County shall make the disclosures required by this Section 12. to ODHS. ODHS reserves the right to take such action required by law, or where ODHS has discretion, as it deems appropriate, based on the information received (or the failure to receive information) from the provider, fiscal agent or managed care entity.

**13. Federal Intellectual Property Rights Notice.** The federal funding agency, as the awarding agency of the funds used, at least in part, for the Work under this Agreement, may have certain rights as set forth in the federal requirements pertinent to these funds.

For purposes of this subsection, the terms “grant” and “award” refer to funding issued by the federal funding agency to the State of Oregon. County agrees that it has been provided the following notice:

- a.** The federal funding agency reserves a royalty-free, nonexclusive and irrevocable right to reproduce, publish, or otherwise use the Work, and to authorize others to do so, for Federal Government purposes with respect to:
  - (1) The copyright in any Work developed under a grant, subgrant or agreement under a grant or subgrant; and
  - (2) Any rights of copyright to which a grantee, subgrantee or a contractor purchases ownership with grant support.
- b.** The parties are subject to applicable federal regulations governing patents and inventions, including government-wide regulations issued by the Department of Commerce at 37 CFR Part 401, “Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Government Grants, Contracts and Cooperative Agreements.”
- c.** The parties are subject to applicable requirements and regulations of the federal funding agency regarding rights in data first produced under a grant, subgrant or agreement under a grant or subgrant.

- 14. Federal Whistleblower Protection.** County shall comply, and ensure the compliance by subcontractors or subgrantees, with 41 U.S.C. 4712, Enhancement of contractor protection from reprisal for disclosure of certain information.

COVER SHEET

- New Agreement/Contract
- Amendment/Change/Extension to \_\_\_\_\_
- Other \_\_\_\_\_

Originating County Department: \_\_\_\_\_

Other party to contract/agreement: \_\_\_\_\_

Description:

After recording please return to: \_\_\_\_\_

- County Admin
- Procurement

If applicable, complete the following: \_\_\_\_\_

Board Agenda Date/Item Number: \_\_\_\_\_